

Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph P. Fernando et al.

Title: EXTENSIBLE OBJECT MODEL

Docket No.: 777.229US1

Filed: January 29, 1999

Examiner:



Serial No.: 09/240,406

Due Date: April 19, 1999

Group Art Unit: 2771

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

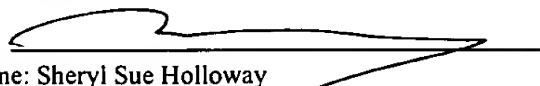
We are transmitting herewith the attached:

- ☒ An Assignment of the invention to Microsoft Corporation ( 2 pgs.) and Recordation Form Cover Sheet (1 pg.).
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27.
- ☒ A signed Combined Declaration and Power of Attorney ( 3 pgs.).
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the Extension Fee.
- ☒ A check in the amount of \$130.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$1,216.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ A check in the amount of \$40.00 to cover the Assignment Recording Fee.
- ☒ Form PTO-1533 (1pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A return postcard.
- ☐ Other: \_\_\_\_.

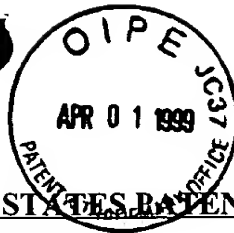
Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 25 day of March, 1999.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By:   
Name: Sheryl Sue Holloway  
Reg. No. 37,850  
SSH:CMG:af

S/N 09/240,406



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph P. Fernando et al.

Examiner: Unknown

Serial No.: 09/240,406

Group Art Unit: 2771

Filed: January 29, 1999

Docket: 777.229US1

Title: EXTENSIBLE OBJECT MODEL

COMMUNICATION RE: MISSING PARTS

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$1,216.00 to cover the large entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6939.

Respectfully submitted,

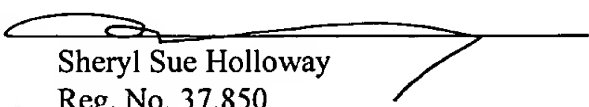
JOSEPH P. FERNANDO ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6939

Date MARCH 25, 1999

By

  
Sheryl Sue Holloway  
Reg. No. 37,850  
SSH:CMG:af

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231, on MAR 25, 1999  
Date of Deposit

  
SHERYL SUE HOLLOWAY



Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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21057240 406 01/29/99 FERNANDO 777-229USL

0232/0219

SCHWEGMAN LUNDBERG WOESSNER & KLUTH  
P.O. BOX 2938  
MINNEAPOLIS MN 55402

NOT ASSIGNED

2771

DATE MAILED:

02/19/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$55.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1216

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 456 including any multiple dependent claim fees, are required.

\$ 312 for 56 independent claims over 3.

\$ 144 for 56 dependent claims over 20.

\$          for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

☐ 9. OTHER

Direct the reply and any questions about this notice to: Attention: Box Missing Parts

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2: COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY